

Compliance Requirements

April 21, 2017

Dear Care1st and ONECare Providers and Staff:

WE NEED YOUR HELP! AHCCCS and The Centers for Medicare & Medicaid Services (CMS) require our plan to provide compliance related training materials to contracted providers and their employees who are involved in the administration or delivery of benefits. AHCCCS and CMS also require us to provide you with our fraud, waste, and abuse policies and procedures, our standards of conduct, our Anti-Fraud Plan, and HIPAA training. Your **two** attestations are required as proof that you received the training materials and provided them to your staff.

This is a THIRD notice. If you did not respond with your attestations in February or March 2017, you must do so by May 15, 2017. CMS requires Care1st/ONECare to report confirmation of your attestation participation.

*****Please note: These attestations are different than the Compliance Risk Assessment you may have completed between September 2016 and January 2017.**

As a result of this requirement, **two** annual attestations are needed by **May 15, 2017**:

- Fraud, Waste, & Abuse Training Attestation
- Policies & Procedures, Anti-Fraud Plan, Standards of Conduct for Providers, and HIPAA Training Attestation

HOW TO ACCESS TRAINING MATERIALS & COMPLETE THE ATTESTATIONS

Training materials and the attestations are located at: www.care1st.com/az

Click on Care1st Health Plan Arizona > Providers > Compliance Resources > FDR/General Compliance Information

Attestation 1: Fraud, Waste & Abuse & General Compliance

1. Scroll to "FWA/General Compliance Training Materials"
2. Click on "CMS Fraud, Waste & Abuse & General Compliance Training Presentation"
3. Upon completion of the training requirements, scroll to "Attestation Form for Contracted Entities (FDRs/Vendors) – General Compliance and Fraud, Waste & Abuse"
4. Submit the attestation using one of the following methods:
 - a. Via our online portal by clicking on the hyperlink after "Note: you can submit your attestation **online!**"
 - b. Download the FWA & Compliance Attestation Form and email the completed attestation to ComplianceDepartmentAZ@care1st.com or fax to 602-778-1814.

Attestation 2: Policies & Procedures, Anti-Fraud Plan, Standards of Conduct for Providers, and HIPAA Training

1. Scroll to "Care1st Standards of Conduct, Anti-Fraud Plan, and Policies:"
2. Open each of following documents, save and distribute:
 - a. Care1st Anti-Fraud Plan
 - b. Standards of Conduct
 - c. Breach Notification Procedures & Timelines,
 - d. Compliance Expectations for FDRs and Other Related Entities;
 - e. Care1st policy on distribution of these documents
 - f. HIPAA Training Slides (After the Omnibus Rule; Federal Privacy & Security Rules)
3. Once the documents are reviewed and distributed, scroll to "Attestation for Distribution of Care1st Standards of Conduct, Anti-Fraud Plan, HIPAA Training Slides and Policies"
4. Submit the attestation using one of the following methods:
 - a. Via our online portal by clicking on the hyperlink after "Note: you can submit your attestation **online!**"
 - b. Download the FWA & Compliance Attestation Form and email the completed attestation to ComplianceDepartmentAZ@care1st.com or fax to 602-778-1814.

We thank you for your cooperation. If you have already submitted your attestations in 2017, email ComplianceDepartmentAZ@care1st.com and include the fax number where you received this notice.